

UNITED STATES PATENT APPLICATION

FOR

METHOD AND APPARATUS FOR POLARIZATION INSENSITIVE PHASE  
SHIFTING OF AN OPTICAL BEAM IN AN OPTICAL DEVICE

Inventors: Ansheng Liu  
Richard Jones  
Mario J. Paniccia  
Graham T. Reed

Prepared By:  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025-1026  
(206) 292-8600

Attorney's Docket No.: 42P18893

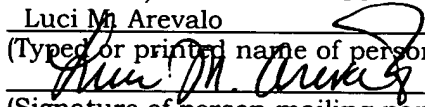
"Express Mail" mailing label number: EV320120252US

Date of Deposit: February 10, 2004

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Luci M. Arevalo

(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

02-10-04  
(Date signed)

# METHOD AND APPARATUS FOR POLARIZATION INSENSITIVE PHASE SHIFTING OF AN OPTICAL BEAM IN AN OPTICAL DEVICE

## BACKGROUND OF THE INVENTION

### 5        Field of the Invention

The present invention relates generally to optics and, more specifically, the present invention relates to phase shifting optical beams.

### Background Information

10        The need for fast and efficient optical-based technologies is increasing as Internet data traffic growth rate is overtaking voice traffic pushing the need for optical communications. Transmission of multiple optical channels over the same fiber in the dense wavelength-division multiplexing (DWDM) systems and Gigabit (GB) Ethernet systems provide a simple way to use the unprecedented capacity (signal bandwidth) offered by fiber optics.

15        Commonly used optical components in the system include wavelength division multiplexed (WDM) transmitters and receivers, optical filter such as diffraction gratings, thin-film filters, fiber Bragg gratings, arrayed-waveguide gratings, optical add/drop multiplexers, lasers and optical switches. Optical switches may be used to modulate optical beams. Two commonly found

20        types of optical switches are mechanical switching devices and electro-optic switching devices.

Mechanical switching devices generally involve physical components that are placed in the optical paths between optical fibers. These components are moved to cause switching action. Micro-electronic

mechanical systems (MEMS) have recently been used for miniature mechanical switches. MEMS are popular because they are silicon based and are processed using somewhat conventional silicon processing technologies. However, since MEMS technology generally relies upon the  
5 actual mechanical movement of physical parts or components, MEMS are generally limited to slower speed optical applications, such as for example applications having response times on the order of milliseconds.

In electro-optic switching devices, voltages are applied to selected parts of a device to create electric fields within the device. The electric fields  
10 change the optical properties of selected materials within the device and the electro-optic effect results in switching action. Electro-optic devices typically utilize electro-optical materials that combine optical transparency with voltage-variable optical behavior. One typical type of single crystal electro-optical material used in electro-optic switching devices is lithium  
15 niobate ( $\text{LiNbO}_3$ ).

Lithium niobate is a transparent material from ultraviolet to mid-infrared frequency range that exhibits electro-optic properties such as the Pockels effect. The Pockels effect is the optical phenomenon in which the refractive index of a medium, such as lithium niobate, varies with an  
20 applied electric field. The varied refractive index of the lithium niobate may be used to provide switching. The applied electrical field is provided to present day electro-optical switches by external control circuitry.

Although the switching speeds of these types of devices are very fast, for example on the order of nanoseconds, one disadvantage with present day electro-optic switching devices is that these devices generally require relatively high voltages in order to switch optical beams. Consequently, the external circuits utilized to control present day electro-optical switches are usually specially fabricated to generate the high voltages and suffer from large amounts of power consumption. In addition, integration of these external high voltage control circuits with present day electro-optical switches is becoming an increasingly challenging task as device dimensions continue to scale down and circuit densities continue to increase.

## BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is illustrated by way of example and not limitation in the accompanying figures.

Figure 1 is a cross-section illustration of an optical device including a charge modulated region proximate to a planar shaped insulating region in an optical waveguide, wherein the optical device exhibits a strong polarization dependence.

Figure 2 is a cross-section illustration of one embodiment of an optical device including a charge modulated region proximate to a substantially V shaped insulating region in an optical waveguide, wherein the optical device exhibits polarization independence in accordance with the teachings of the present invention.

Figure 3 is a diagram illustrating vectorial modeling of the transverse electric field (TE) mode of optical beam directed through one embodiment of an optical device including a charge modulated region proximate to a substantially V shaped insulating region in an optical waveguide in accordance with the teachings of the present invention.

Figure 4 is a diagram illustrating vectorial modeling of the transverse magnetic field (TM) mode of optical beam directed through one embodiment of an optical device including a charge modulated region proximate to a substantially V shaped insulating region in an optical waveguide in accordance with the teachings of the present invention.

Figure 5 is a block diagram illustration of one embodiment of a system including an optical transmitter and an optical receiver with an optical device including a one embodiment of a polarization insensitive optical phase shifter according to embodiments of the present invention.

5        Figure 6 is a block diagram illustration of one embodiment of an optical modulator including a Mach Zehnder Interferometer (MZI) configuration having one embodiment of a polarization insensitive optical phase shifter according to embodiments of the present invention.

10        Figure 7 is a block diagram illustration of one embodiment of an optical modulator including a Fabry-Perot cavity configuration having one embodiment of a polarization insensitive optical phase shifter according to embodiments of the present invention.

## DETAILED DESCRIPTION

Methods and apparatuses for polarization insensitive phase shifting an optical beam with an optical device are disclosed. In the following description numerous specific details are set forth in order to provide a  
5 thorough understanding of the present invention. It will be apparent, however, to one having ordinary skill in the art that the specific detail need not be employed to practice the present invention. In other instances, well-known materials or methods have not been described in detail in order to avoid obscuring the present invention.

10 Reference throughout this specification to “one embodiment” or “an embodiment” means that a particular feature, structure or characteristic described in connection with the embodiment is included in at least one embodiment of the present invention. Thus, appearances of the phrases “in one embodiment” or “in an embodiment” in various places throughout this  
15 specification are not necessarily all referring to the same embodiment. Furthermore, the particular features, structures or characteristics may be combined in any suitable manner in one or more embodiments. In addition, it is appreciated that the figures provided herewith are for explanation purposes to persons ordinarily skilled in the art and that the drawings are  
20 not necessarily drawn to scale.

In one embodiment of the present invention, a semiconductor-based optical device is provided in a fully integrated solution on a single integrated circuit chip. One embodiment of the presently described optical device

includes a semiconductor-based waveguide having a complementary metal oxide semiconductor (CMOS) capacitor type structure adapted to phase shift an optical beam in response to a signal. Embodiments of the disclosed optical devices can be used in a variety of high bandwidth applications including multi-processor, telecommunications, networking as well as other high speed optical applications such as optical delay lines, switches, modulators, add/drops, or the like.

In one embodiment, the CMOS capacitor type structure is adapted to modulate a charged region proximate to a substantially V shaped insulating region in the waveguide. An optical beam is to be directed through the waveguide and through the charge modulated region proximate to the substantially V shaped insulating region to phase shift the optical beam. Polarization independent phase efficiency is realized according to embodiments of the present invention with the utilization of the substantially V shaped insulating region through the optical waveguide. As will be discussed, the substantially orthogonal relationship between the planes of the substantially V shaped insulating region according to embodiments of the present invention result in substantially polarization insensitive phase shifting of an arbitrarily polarized optical beam because the transverse electric field (TE) and transverse magnetic field (TM) mode components of the optical beam propagate at right angles with respect to each other through the optical waveguide.



To illustrate, Figure 1 is a cross-section illustrating generally an optical device including a charge modulated region proximate to a planar shaped insulating region in an optical waveguide. As shown in Figure 1, optical device 101 includes a first region of semiconductor material 103

5 having a first conductivity type and a second region of semiconductor material 105 having a second conductivity type. A planar insulating region 111 is disposed between semiconductor material regions 103 and 105. As illustrated in Figure 1, optical device 101 is fabricated on a silicon-on-insulator (SOI) wafer and therefore includes a buried insulating layer 107  
10 and a layer of semiconductor material 109. An optical waveguide 127 is included in optical device 101, through which an optical beam 121 is directed along an optical path. The optical path along which optical beam 121 is directed is along an axis that is parallel to the axis of the optical waveguide of optical device 101. Thus, the optical path and therefore  
15 optical beam 121 are shown to propagate along a direction going through, or coming in and out of, the page. As shown in Figure 1, semiconductor material region 105 is grounded and semiconductor material region 103 is coupled to receive  $V_{\text{SIGNAL}}$  through contacts 113 and 115.

In operation, optical beam 121 is directed through optical waveguide  
20 127 along an optical path through charge modulated region 133.  $V_{\text{SIGNAL}}$  is applied to optical waveguide 127 to modulate the free charge carrier concentration in charge modulated region 133 proximate to planar insulating region 111, or at the interfaces between insulating region 111

and regions of semiconductor material 103 and 105. The applied voltage from  $V_{\text{SIGNAL}}$  changes the free charge carrier density in charge modulated region 133, which results in a change in the refractive index of the semiconductor material in optical waveguide 127.

- 5        In operation, optical beam 121 is directed through optical waveguide 127 along an optical path through charge modulated region 133.  $V_{\text{SIGNAL}}$  is applied to optical waveguide 127 to modulate the free charge carrier concentration in charge modulated region 133 at the interfaces between insulating region 111 and regions of semiconductor material 103 and 105.
- 10    The applied voltage from  $V_{\text{SIGNAL}}$  changes the free charge carrier density in charge modulated region 133, which results in a change in the refractive index of the semiconductor material in optical waveguide 127.

Optical device 101 of Figure 1 exhibits a strong polarization dependence of phase efficiency. For instance, when a polarization of optical

15    beam 121 is parallel to planar insulating region 111, or the interfaces between insulating region 111 and semiconductor material regions 103 and 105, the phase efficiency is substantially larger, such as for example approximately seven times (7x) larger, than that for a polarization of optical beam 121 that is perpendicular to planar insulating region 111. As a

20    consequence, the efficiency of optical device 101 on phase shifting optical beam 101 is dependent on the polarization of the optical beam 121 that is directed through the optical waveguide 127.

Figure 2 is a cross-section illustration of one embodiment of an optical device including a charge modulated region proximate to a substantially V shaped insulating region in an optical waveguide. The optical device of Figure 2 exhibits polarization independence according to 5 embodiments of the present invention. As shown in Figure 2, optical device 201 includes a first region of semiconductor material 203 having a first conductivity type and a second region of semiconductor material 205 having a second conductivity type. In one embodiment, semiconductor material 203 includes silicon with p-type dopants and semiconductor material 205 10 includes silicon with n-type dopants. It is appreciated that the polarities of the dopants are provided for explanation purposes and that the polarities of the dopants and corresponding voltages may be reversed in accordance with the teachings of the present invention. In one embodiment, a substantially V shaped insulating region 211 is disposed between semiconductor material 15 regions 203 and 205. As illustrated in Figure 2, one embodiment of optical device 201 is fabricated on a silicon-on-insulator (SOI) wafer and therefore includes a buried insulating layer 207 and a layer of semiconductor material 209.

In one embodiment, an optical waveguide 227 is included in optical 20 device 201, through which an optical beam 221 is directed along an optical path. In the embodiment illustrated in Figure 2, waveguide 227 is a rib waveguide including a rib region 229 and a slab region 231. In one embodiment, optical beam 221 includes infrared or near infrared light. For

example, in one embodiment, optical beam 221 has a wavelength near approximately  $1.3\text{ }\mu\text{m}$  or  $1.55\text{ }\mu\text{m}$ . In the embodiment illustrated in Figure 2, the optical path along which optical beam 221 is directed is along an axis that is parallel to the axis of the optical waveguide of optical device 201. In the example shown in Figure 2, the optical path and therefore optical beam 221 are shown to propagate along a direction going through, or coming in and out of, the page. In the embodiment of Figure 2, the vertex of the “V” of the substantially V shaped insulating region 221 forms an intersecting line that is also substantially parallel to the optical path along which optical beam 221 is directed through the optical waveguide 227.

As shown in the embodiment of Figure 2, semiconductor material region 205 is grounded and semiconductor material region 203 is coupled to receive  $V_{\text{SIGNAL}}$  through contacts 213 and 215. In one embodiment, contacts 213 and 215 are metal contacts that are coupled to semiconductor material region 205 at locations outside the optical path of optical beam 221. The application of  $V_{\text{SIGNAL}}$  to optical waveguide, as shown in Figure 2, results in the modulation of free charge carriers in charge modulated region 233, which is proximate to substantially V shaped insulating region 211 and through which optical beam 221 is directed. As can be appreciated to a person skilled in the art having the benefit of this disclosure, a CMOS capacitor type structure is therefore provided according to embodiments of the present invention where the highly charged regions on opposite sides of

substantially V shaped insulating region 211 represent the plates of the CMOS capacitor-type structure.

In one embodiment, a buffer of insulating material 223 and a buffer of insulating material 225 are also included in an optical device 201 in

5 accordance with the teachings of the present invention. As shown in Figure 2, buffer 223 is disposed between contact 213 and the optical path of optical beam 221. Buffer 225 is disposed between contact 215 and the optical path of optical beam 221. In one embodiment, buffers 223 and 225 are made of materials having lower refractive indexes than the refractive index of the  
10 core of waveguide 227. As a result, buffers 223 and 225 serve as cladding so as to help confine optical beam 221 to remain within waveguide 227. In the embodiment illustrated in Figure 2, buried insulating layer 207 also serves as cladding so as to help confine optical beam 221 to remain within waveguide 227. In one embodiment, buffers 223 and 225 also serve as  
15 electrical isolators so as to electrically isolate the contacts coupled to waveguide 227 from the optical electric field guided from optical beam 221.

In operation, optical beam 221 is directed through optical waveguide 227 along an optical path through charge modulated region 233.  $V_{\text{SIGNAL}}$  is applied to optical waveguide 227 to modulate the free charge carrier  
20 concentration in charge modulated region 233 at the interfaces between substantially V shaped insulating region 211 and regions of semiconductor material 203 and 205. The applied voltage from  $V_{\text{SIGNAL}}$  changes the free charge carrier density in charge modulated region 233, which results in a

change in the refractive index of the semiconductor material in optical waveguide 227. In one embodiment, the free charge carriers in charge modulated region 233 may include for example electrons, holes or a combination thereof.

5           In one embodiment, the phase of optical beam 221 that passes through charge modulated region 233 is modulated in response to  $V_{\text{SIGNAL}}$ .

In one embodiment, the phase of optical beam 221 passing through free charge carriers in charge modulated region 233, or the absence of free charge carriers, in optical waveguide 227 is modulated due to the plasma

10   optical effect. The plasma optical effect arises due to an interaction between the optical electric field vector and free charge carriers that may be present along the optical path of the optical beam 221 in optical waveguide 227.

The electric field of the optical beam 221 polarizes the free charge carriers and this effectively perturbs the local dielectric constant of the medium.

15   This in turn leads to a perturbation of the propagation velocity of the optical wave and hence the index of refraction for the light, since the index of

refraction is simply the ratio of the speed of the light in vacuum to that in the medium. Therefore, the index of refraction in optical waveguide 227 of optical device 201 is modulated in response to the modulation of free charge

20   carriers charge modulated region 233. The modulated index of refraction in the waveguide of optical device 201 correspondingly modulates the phase of optical beam 221 propagating through optical waveguide 227 of optical device 201. In addition, the free charge carriers in charge modulated region

233 are accelerated by the field and lead to absorption of the optical field as optical energy is used up. Generally the refractive index perturbation is a complex number with the real part being that part which causes the velocity change and the imaginary part being related to the free charge carrier

5 absorption. The amount of phase shift  $\phi$  is given approximately by

$$\phi = (2\pi / \lambda) \Delta n L \quad (\text{Equation 1})$$

with the optical wavelength  $\lambda$ , the refractive index change  $\Delta n$  and the interaction length  $L$ . In the case of the plasma optical effect in silicon, the refractive index change  $\Delta n$  due to the electron ( $\Delta N_e$ ) and hole ( $\Delta N_h$ )

10 concentration change is given by:

$$\Delta n = -\frac{e^2 \lambda^2}{8\pi^2 c^2 \epsilon_0 n_0} \left( \frac{b_e (\Delta N_e)^{1.05}}{m_e^*} + \frac{b_h (\Delta N_h)^{0.8}}{m_h^*} \right) \quad (\text{Equation 2})$$

where  $n_0$  is the nominal index of refraction for silicon,  $e$  is the electronic charge,  $c$  is the speed of light,  $\epsilon_0$  is the permittivity of free space,  $m_e^*$  and  $m_h^*$  are the electron and hole effective masses, respectively,  $b_e$  and  $b_h$  are fitting  
15 parameters.

As can be observed in Figure 2, one embodiment of substantially V shaped insulating region 211 can be characterized as being formed with two planar regions of insulating material 217 and 219, which are angled with respect to each other, forming the “V” shape. For purposes of this  
20 disclosure, it is appreciated that the precise shape of the “V” of substantially V shaped insulating region 211 may deviate from exact “V” shape embodiment illustrated in Figure 2 for explanation purposes. For example,

the bottom tip or vertex of the "V" may flattened, blunted or rounded, or the "V" shape may be included in a zigzagged "W" or "M" shaped structure, etc. However, in all of these various embodiments, the substantially V shaped insulating region 211 includes at least the two regions of insulating material, which are angled with respect to each other in accordance with the teachings of the present invention.

In one embodiment, regions 217 and 219 of insulating material may be substantially orthogonal relationship to each other. In another embodiment, regions 217 and 219 of insulating material may be angled with respect to one another at angles other than 90 degrees. Similar to the discussion above, in one embodiment, when a polarization of optical beam 221 is parallel to one of the regions 217 or 219 of insulating material, the phase efficiency is substantially larger, such as for example approximately seven times (7x) larger, than that for a polarization of optical beam 221 that is perpendicular to the same region 217 or 219 of insulating material.

For explanation purposes, assume for example that a polarization of optical beam 221 is parallel to region 217 of substantially V shaped insulating region 211. The phase efficiency would be for example seven times (7x) larger than that for a polarization of optical beam 221 that is perpendicular to region 217 of substantially V shaped insulating region 211. However, since regions 217 and 219 are substantially orthogonal to each other, the polarization of optical beam 221 that is substantially perpendicular to region 217 is parallel to region 219. Similarly, a



polarization of optical beam 221 that is perpendicular to region 219 is substantially parallel to region 217. Therefore, with charge modulated region 233 proximate to substantially V shaped insulating region 211, optical device 201 exhibits polarization independence when modulating the phase of optical beam 211 in accordance with the teachings of the present invention.

To further illustrate, assume that regions 217 and 219 of substantially V shaped insulating region 211 are each aligned with an angle  $\theta$  relative to the horizontal line 218 illustrated in the embodiment shown in Figure 2. In one embodiment, assume that angle  $\theta$  is close to 45 degrees or that regions 217 and 219 of substantially V shaped insulating region 211 are formed with an approximate 90 degree angle relative to each other. Continuing with this example, let the waveguide effective index change for region 217 or 219 of substantially V shaped insulating region 211 with the electric field component parallel to the region 217 or 219 be equal to  $\Delta n$ . Thus, for the electric field component that is perpendicular to the region 217 or 219, the induced waveguide effective index change is substantially less, or for example  $\Delta n/7$ , due to the smaller phase efficiency as discussed above.

In an embodiment with semiconductor material regions 203 and 205 including silicon, and with substantially V shaped insulating region 211 including regions 217 and 219 being oxide layers having thicknesses of approximately 5-12 nanometers, a single mode waveguide is realized for

optical waveguide 227. The optical waveguide 227 has one optical mode for the TE mode polarization component and one optical mode for the TM mode polarization component of optical beam 221. In one embodiment, for the TE mode polarization component, the total waveguide effective index change is  
 5 for example

$$2[\Delta n \cdot \cos\theta + (\Delta n/7) \cdot \sin\theta], \quad (\text{Equation 3})$$

while the total waveguide effective index change the TM mode polarization component is for example

$$2[(\Delta n/7) \cdot \cos\theta + \Delta n \cdot \sin\theta]. \quad (\text{Equation 4})$$

10 Therefore, when the angle  $\theta$  in the embodiment illustrated in Figure 2 is substantially equal to 45 degrees, the total induced index change is substantially the same for both TE and TM mode polarization components of optical beam 221. Thus, substantially polarization independent phase efficiency is obtained with an optical phase shifter of optical device 201 in  
 15 accordance with the teachings of the present invention.

To illustrate the polarization independence of optical device 201, Figure 3 is a diagram illustrating vectorial modeling of the TE mode polarization component of optical beam 221 and Figure 4 is a diagram illustrating vectorial modeling of the transverse magnetic field TM mode  
 20 polarization component of optical beam 221 directed through one embodiment of optical waveguide 227 of optical an optical device 201 in accordance with the teachings of the present invention.

In the example embodiments illustrated in Figures 3 and 4, the substantially V shaped insulating region 211 is an oxide with a thickness of approximately 12 nanometers. As can be observed in the embodiment in Figures 3 and 4, optical waveguide 227 is a rib waveguide with rib region 229 having a width of approximately 3  $\mu\text{m}$  and a height of approximately 1.0  $\mu\text{m}$ . The slab region of optical waveguide 227 in the embodiment in Figures 3 and 4 is approximately 1.5  $\mu\text{m}$  in height.

As can be appreciated from the vectorial modelings of Figures 3 and 4, the distribution of the TE and TM modes of optical beam 221 is symmetric along the horizontal direction across the substantially V shaped insulating region 211. Thus, the overlap of both the TE and TM modes of optical beam 221 over the charge modulated regions 233 is substantially equal for a given position of substantially V shaped insulating region 211 in the vertical direction. Since both of TE and TM mode polarization components of optical beam 221 are not symmetric in the vertical direction, the effective index change will be slightly dependent on the position of substantially V shaped insulating region 211 relative to the optical modes for the TE and TM mode polarization components. In one embodiment, the vertical position of substantially V shaped insulating region 211 can be adjusted to achieve polarization independent operation in accordance with the teachings of the present invention. In so doing, it is possible to have a polarization insensitive phase shift, even when the relative angle between the regions

217 and 219 of substantially V shaped insulating region 211 is not precisely 90 degrees in accordance with the teachings of the present invention.

Figure 5 illustrates generally a block diagram of one embodiment of a system including an optical transmitter and an optical receiver with an

5 optical device according to embodiments of the present invention. In

particular, Figure 5 shows optical system 501 including an optical transmitter 503 and an optical receiver 507. In one embodiment, optical system 501 also includes an optical device 505 optically coupled between optical transmitter 503 and optical receiver 507. As shown in Figure 5,

10 optical transmitter 503 transmits an optical beam 521 that is received by optical device 505. In one embodiment, optical device 505 may include for example a device such as optical device 201 described above in connection with Figures 2-4 to phase shift optical beam 521 in response to signal

$V_{\text{SIGNAL}}$ . As such, optical device 505 is independent of the polarization of

15 optical beam 521. In such an embodiment, optical device 505 may serve as an optical delay. In another embodiment, optical device 505 may be employed in an optical amplitude modulator or the like. In various

embodiments according to the teachings of the present invention, it is

appreciated that optical device 505 can operate at speeds as fast as 10 GHz

20 and beyond. As a result, an embodiment of optical device 505 in may be fabricated in for example a high-speed silicon modulator for data

communication and chip-to-chip interconnect applications in accordance with the teachings of the present invention.

For instance, in one embodiment of the present invention, a semiconductor-based optical amplitude modulator is provided in a fully integrated solution on a single integrated circuit chip. In particular, Figure 6 illustrates generally one embodiment of an optical modulator 601 that can be employed in place optical device 505 of Figure 5. As shown in the depicted embodiment, optical modulator 601 includes an optical phase shifter 603 in at least one of the two arms optically coupled between cascaded Y-branch couplers of a Mach-Zehnder Interferometer (MZI) configuration 605 disposed in semiconductor material. In one embodiment, optical phase shifter 603 is similar to an embodiment of optical device 201 described above in connection with Figures 2-4.

In operation, an optical beam 621 is directed into an input of MZI configuration 605. Optical beam 621 is split such that a first portion of the optical beam 621 is directed through one of the arms of the MZI configuration 605 and a second portion of optical beam 621 is directed through the other one of the arms of the MZI configuration 605. As shown in the depicted embodiment, one of the arms of the MZI configuration 605 includes optical phase shifter 603, which adjusts a relative phase difference between the first and second portions of optical beam 621 in response to signal  $V_{\text{SIGNAL}}$ . In one embodiment, the first and second portions of optical beam 621 are then merged in the semiconductor substrate such that optical beam 621 is modulated at the output of MZI configuration 605 as a result of constructive or destructive interference. In one embodiment, as shown, one

of the arms of the MZI configuration 605 includes an optical phase shifter 603. In another embodiment, both of the arms of the MZI configuration 605 may include an optical phase shifter 603.

Figure 7 is a block diagram illustrating generally an embodiment of an optical modulator including a Fabry-Perot cavity configuration having one embodiment of a polarization insensitive optical phase shifter according to embodiments of the present invention. In particular, Figure 7 shows generally one embodiment of an optical modulator 701 that can be employed for optical device 505 of Figure 5. As shown in the depicted embodiment, optical modulator 701 includes Fabry-Perot cavity configuration 709 defined between optical reflectors 703 and 707. In the illustrated embodiment, an optical phase shifter 705 is included in the Fabry-Perot cavity configuration 709 optically coupled between optical reflectors 703 and 707. In one embodiment, optical phase shifter 705 is similar to an embodiment of optical device 201 described above in connection with Figures 2-4. In one embodiment, optical reflectors 703 and 707 may be realized with Bragg gratings or the like, which are integrated in a semiconductor based optical waveguide to define Fabry-Perot cavity configuration 709.

In operation, optical beam 721 is input into Fabry-Perot cavity configuration 709 of optical phase shifter 701 through optical reflector 703.  $V_{\text{SIGNAL}}$  is applied to optical phase shifter 705 to modulate the phase of optical beam 721 as optical beam 721 resonates within Fabry-Perot cavity

configuration 709. By modulating the phase of optical beam 721 with optical phase shifter 705, optical beam 721 output from optical reflector 707 is modulated independent of polarization in accordance with the teachings of the present invention.

5           In the foregoing detailed description, the method and apparatus of the present invention have been described with reference to specific exemplary embodiments thereof. It will, however, be evident that various modifications and changes may be made thereto without departing from the broader spirit and scope of the present invention. The present specification and figures  
10   are accordingly to be regarded as illustrative rather than restrictive.